



PATENT
Attorney Docket No. 06720.0110-01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Hsiang-Tsung KUNG) Group Art Unit: 2134
)
Application No.: 10/749,558) Examiner: TRAN, Ellen C.
)
Filed: January 2, 2004)
)
For: PERSONAL AUTHENTICATION) Confirmation No.: 8770
DEVICE AND SYSTEM AND)
METHOD THEREOF)
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicant brings to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

Copies of the listed foreign and non-patent literature documents are attached.

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

An explanation of the relevance of the non-English documents may be found in an English translation of a First Examination Opinions Notification from the State

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Intellectual Property Office of the People's Republic of China ("Notification") submitted herewith. An English translation of an Office Action from the German Patent and Trademark Office ("Office Action") is also enclosed.

Applicant does not necessarily endorse the conclusions set forth in the Notification or the Office Action.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

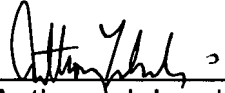
Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

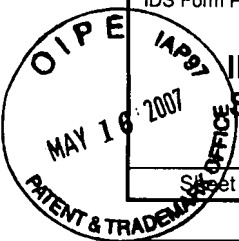
If there is any fee due in connection with the filing of this Statement, please charge the fee to Deposit Account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: May 16, 2007

By: 
Anthony J. Lombardi
Reg. No. 53,232



IDS Form PTO/SB/08: Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number	10/749,558
Filing Date	January 2, 2004
First Named Inventor	Hsiang-Tsung KUNG
Art Unit	2134
Examiner Name	TRAN, Ellen C.
Attorney Docket Number	06720.0110-01

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initials	Cite No. ¹	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		2002/0026578 A1	02-28-2002	Hamann et al.	
		2003/0028664 A1	02-06-2003	Tan et al.	

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO 01/11845 A2	02-15-2001	Wood et al.		
		CN 1354936 A	06-19-2002			No
		CN 1393017 A	01-22-2003			No

NONPATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ⁶
		First Examination Opinions Notification from the State Intellectual Property Office of the People's Republic of China in Application No. 2004100459023, dated January 26, 2007 (18 pages).	Yes (16 pages)
		Office Action from the German Patent and Trademark Office (3 pages)	Yes (2 pages)
		ZOU et al., "Security E-commerce System Combined with Fingerprint Identification and Smart Card," Computer Engineering, January 2003 (3 pages)	Abstract
		Zang, "ATM Minimarts," Recent Developments in Science Technology Abroad, No. 7, 2002 (3 pages)	No

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.